

Risk Assessment - Corporate Risks													PETERBOROUGH CITY COUNCIL	
RAYG Status	Last Update	Risk Title	Risk Description	Financial Impact	Financial Impact Value (£)	Mitigating Action	Mitigating Action Cost (£)	Likelihood	Impact	Over Risk Appetite?	Risk Type	Trend	Corporate Risk	Risk Owners
Chief Executive														
Legal & Governance Departmental Risk Register														
20	11/12/18	Recall petition called by the speaker of the House of Commons	There is a risk that now Peterborough's MP is convicted of an offence she may be ordered to serve a custodial sentence or ordered to be detained, that they are barred from the House of Commons for 10 sitting days or 14 calendar days. The consequences are increased level of staff and resources, requirement to deliver in line with tight timetable, financial commitment, time of year (difficulty in securing premises, staff, lighting issues due to dark nights, adverse weather conditions). Increased level of media interest	Yes		Up to date project plan, Regular EWG meeting, Contact with print suppliers, ascertain availability of council owned buildings that can be used as signing places		5	5	No	Legal & Regulatory	Increasing	Yes	Rachel Edwards
20	11/12/18	General election or referendum as a result of Brexit	There is a risk that there will be a General Election or another Brexit referendum. The consequences are a need for increased level of staff and resources. Tight time-scales. Adverse weather conditions. Poor lighting. Risk to locating polling stations at short notice. Public order issues.	Yes		Project plan EWG meetings Staffing held CCTV for key stations Police engagement and support.		4	5	Yes	Legal & Regulatory		Yes	Rachel Edwards
16	11/12/18	Leaseholds of 50 homes to aid with the homeless situation	There is a risk that an incorrect process is followed by service causing common law tenancies to exist with security of tenure that cannot be terminated by notice under the AST provisions. The consequences are that tenants would have to give up tenancy which has security to take on AST which would mean they lose security. Reputational damage for the council in not following correct process.			Meetings with service to undertake remedial actions Review of potential for ultra vires case on tenancy.		4	4	Yes	Legal & Regulatory		Yes	Fiona McMillan
15	11/12/18	Parliamentary bi-election called	There is a risk that a parliamentary bi-election will be called if Peterborough's MP resigns or is disbarred from sittings as a result of her court case. The consequences of this are the need for increased level of staff and resources. Requirement to deliver in line with tight timetable, Financial commitment, time of year (difficulty in securing premises, Staff, lighting issues due to dark nights, adverse weather	Yes		Up to date project plan, Regular EWG meeting, contact with print suppliers, staffing list held.		3	5	No	Legal & Regulatory		Yes	Rachel Edwards
People and Communities														
25	19/12/18	Reduction affordable permanent rented accommodation	There is a risk associated with the reduction in the availability of affordable permanent rented accommodation, combined with increase in the number of homelessness presentations. The consequences are significant financial pressure on the Housing Services budget.	Yes		<ul style="list-style-type: none"> Extensive management controls in place to closely monitor financial, demand and consequences. Additional capacity within the housing needs service Focussed commissioner activity to increase supply of affordable homes Implantation of the homelessness prevention hub (support services offered by the Voluntary & Community Sector) 		5	5	Yes	Technical / Operational / Infrastructure	Increasing	Yes	Sean Evans
20	19/12/18	Meeting demand for School Places	There is a risk that the authority will fail to meet its legal requirement for every child of statutory school age to access a school place and within a 'reasonable' distance from their home (less than 2 miles for 4 to 8 year olds and up to 3 miles for 9 to 16 year olds) This is as a result of the impact of further inward migration as EU borders open up, increasing demand and a cut in Government funding for school places. The consequences are: 1) Significant additional costs incurred in terms of transport. 2) Impact on schools in terms of attendance, less engagement from parents and increased churn of pupils when places become available nearer to home - all having a significant impact on outcomes. 3) Potential legal action from parents for failure to meet legal requirements	No		<ol style="list-style-type: none"> Keep under review. Local and national lobbying (inc. EFA capital funding options, inc. Free Schools) Continue to review options around reducing costs including modular technology, use of existing buildings and procurement savings Plans for emergency places being developed including pulling forward schemes and additional mobiles Continue to monitor in year admissions and trends around numbers leaving the city (new In Year form being developed to improve data collection) The School organisation plan and demographic forecast are regularly updated and reviewed by the council Sufficient resources identified in the MTFS to support known requirements in the next three years if forecasts remain accurate. Quality of relationships with schools means schools have over admitted to support the council with bulge years?? Ongoing review of council's five year rolling programme of capital investment. 		5	4	Yes	Organisational / Management / Human Factors	Steady	Yes	Brian Howard

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20	19/12/18	Shared Service	There is a risk that there are financial and service implications from implementing the plan to share services with CCC. The consequences are that this process could result in a reduction in performance and an	Yes		Shared service Programme Board established, meeting monthly. Close monitoring of performance and progress in place.	4	5	Yes	Organisational / Management / Human Factors	Increasing	Yes	Wendi Ogle-Welbourn
15	19/12/18	Safeguarding	There is a risk that safeguarding functions fail to prevent a child or vulnerable adult being placed at significant risk of harm. The consequence is an increase in costs across the public sector, increased fear of crime, reduced confidence in public sector, community tensions and conflict.	No		1) Sustain current improvement journey and adhere to JTAI improvement plan in Children's Services; 2) Permanent leadership team recruited to in place - recruitment and retention package for social workers developed and to be implemented; 3) Continuing focus on high priority areas including domestic abuse, neglect and child sexual exploitation; 4) Practice development emphasising continuing importance of evidencing management oversight and journey of child in work with families Adults: a) Care Act brings significant changes to the role of the SAB and the nature of enquiries to be undertaken. Revised procedures under development including in relation to Large Scale Investigations b) Strengthened Safeguarding Adults	3	5	No	Organisational / Management / Human Factors	Steady	Yes	Wendi Ogle-Welbourn, Will Patten
Public Health													
16	13/11/18	National public health grant allocation is below target	There is a risk that the Public Health Grant allocation to Peterborough is not sufficient to meet the need for Public Health Services. The consequences are a failure to improve public health outcomes due to insufficient investment in Public Health Services.			PH DMT DPH meetings with Finance team to track budget. CMT 1) Full participation in quarterly budget rounds and quarterly budget planning 2) Monthly financial reporting and business planning/staffing discussions at PH DMT 3) Ongoing joint working across directorates of co-placed staff	4	4	No	Economic / Financial/Market	Increasing	Yes	Liz Robin
Resources													
20	08/01/19	"Brexit" impact on EU funding and regulatory framework	There is a Risk that the vote to leave EU impacts procurement arrangements and costs / availability of services. The consequence is uncertainty around EU funding, possible disruptions to the labour market / uncertainty around service demand / provision.	Yes		Consequences of vote UK's decision to leave the UK to be monitored as they emerge Consequences of policy developments / funding changes to be reported to CMT at the earliest opportunity.	4	5	Yes	Political	Steady	Yes	Peter Carpenter
20	08/01/19	Financial Resilience	There is a risk that the Council's financial resilience is insufficient to further withstand the combined pressures of reduced grant funding and increased cost and demand pressures. Any weaknesses in the delivery of the strategy to strengthen financial resilience may exacerbate this risk. The consequence is an unsustainable and financially unviable organisation beyond the short term.	Yes		A number of metrics are being developed to assess financial resilience across Local Government. The strategy to strengthen financial resilience is underpinned by a set of financial planning and management arrangements, including significant changes in arrangements for commissioning services. However, a clear route to a sustainable medium term financial position has not yet been fully identified.	4	5	No	Economic / Financial/Market		Yes	Peter Carpenter
16	08/01/19	Local Government Funding Review outcome & a possibility of losing grant with short notice	The impact of funding review on PCC finances is not currently known. There is a risk that this is adverse. The consequence is a possibility of losing grant with short notice	Yes		Monitor position and evaluate impact at earliest opportunity	4	4	Yes	Strategic / Commercial	Increasing	Yes	Kirsty Nutton
15	08/01/19	Failure to maintain an effective business continuity plan	There is a risk of failure to maintain an effective business continuity plan for all relevant service areas. The consequence is disruption caused by service failure leading to hardship for individuals, potential loss of business and significant reputation damage. Loss of IT Loss of key records Loss of office accommodation.	Yes		Revisit all business continuity plans 1/2 yearly review going forward Establish emergency response toolkit and re-issue	3	5	No	Strategic / Commercial	Steady	Yes	Peter Carpenter